

02-15-01

A/REI

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PTO/SB/50 (08-03)

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## REISSUE PATENT APPLICATION TRANSMITTAL

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Attorney Docket No.	153501-0321
First Named Inventor	Bosley, R. et al.
Original Patent Number	5,873,235
Original Patent Issue Date (Month/Day/Year)	February 23, 1999
Express Mail Label No.	EL 089 007 400 US

## APPLICATION FOR REISSUE OF:

(Check applicable box)



Utility Patent



Design Patent



Plant Patent

## APPLICATION ELEMENTS (37 CFR 1.173)

- ☒ Fee Transmittal Form (PTO/SB/ 56)  
(Submit an original, and a duplicate for fee processing)
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Specification and Claims in double column copy of patent format (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath/Declaration (original or copy)  
(37 C.F.R. § 1.175) (PTO/SB/51 or 52) (unexecuted)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53) (unexecuted)  
☒ 37 C.F.R. § 3.73(b) Statement (PTO/SB/96) (unexecuted) ☒ Power of Attorney (unexecuted)

## ACCOMPANYING APPLICATION PARTS

- ☐ Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).
- ☐ Original U.S. Patent for surrender  
☐ Ribboned Original Patent Grant  
☐ Statement of Loss (PTO/SB/55)
- ☐ Foreign Priority Claim (35 U.S.C. 119) (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration (if applicable)
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Other: **Request for Abstract of Title**

## 15. CORRESPONDENCE ADDRESS



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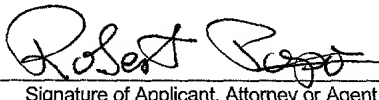
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February 13, 2001

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) <b>153501-0321</b>		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) <b>19</b>	Total Claims (37 CFR 1.16(j))	(B) <b>19</b>	**** <b>0</b> =	x \$ <b>0</b> =		or	x \$ ____ =	
(C) <b>3</b>	Independent claims (37 CFR 1.16(i))	(D) <b>3</b>	* <b>0</b> =	x \$ <b>0</b> =			x \$ ____ =	
Basic Fee (37 CFR 1.16(h))						\$ <b>355</b>	\$ _____	
Total Filing Fee						\$ <b>355</b>	OR \$ _____	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	*** <b>19</b>	MINUS	** <b>20</b>	* = <b>0</b>	x \$ <b>0</b> =	<b>0</b>	x \$ ____ =	
Independent Claims (37 CFR 1.16(i))	*** <b>3</b>	MINUS	***** <b>3</b>	= <b>0</b>	x \$ <b>0</b> =	<b>0</b>	x \$ ____ =	
Total Additional Fee						<b>0</b>	OR \$ _____	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p style="text-align: center;"><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p>								
02/13/01				 Signature of Applicant, Attorney or Agent of Record				
Date				<b>Robert Popa</b> Typed or printed name				

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<b>REISSUE APPLICATION: CONSENT OF ASSIGNEE; STATEMENT OF NON-ASSIGNMENT</b>		Docket Number (Optional) 153501-0321
This is part of the application for a reissue patent based on the original patent identified below.		
Name of Patentee(s)      Capstone Turbine Corporation		
Patent Number      5,873,235	Date Patent Issued      February 23, 1999	
Title of Invention      Liquid Fuel Pressurization and Control Method		
<p>1. <input checked="" type="checkbox"/> Filed herein is a statement under 37 CFR 3.73(b). (Form PTO/SB/96)</p> <p>2. <input type="checkbox"/> Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.</p> <p>One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".</p> <p>The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.</p>		
The assignee(s) owning an undivided interest in said original patent is/are <u>Capstone Turbine Corporation</u> , and the assignee(s) consents to the accompanying application for reissue.		
Name of assignee/inventor (if not assigned) Capstone Turbine Corporation		
Signature	Date	
Typed or printed name and title of person signing for assignee (if assigned) Jeffrey Watts, Chief Financial Officer		

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